



ADA 0 787

The Ohio State University

POINTING ACCURACY AND DYNAMIC RANGE IN A STEERED BEAM ADAPTIVE ARRAY

R. T. Compton, Jr.

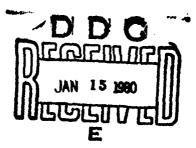


APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED

The Ohio State University

ElectroScience Laboratory

Department of Electrical Engineering
Columbus, Ohio 43212



The second second second

Technical Report 711847-4

November 1979

This document has been approved for public release and sale; is distribution is unlimited.

DDC FILE COPY

Naval Air Systems Command Washington, D.C. 20361

50 1 - 9 0**01**

NOTICES

When Government drawings, specifications, or other data are used for any purpose other than in connection with a definitely related Government procurement operation, the United States Government thereby incurs no responsibility nor any obligation whatsoever, and the fact that the Government may have formulated, furnished, or in any way supplied the said drawings, specifications, or other data, is not to be regarded by implication or otherwise as in any manner licensing the holder or any other person or corporation, or conveying any rights or permission to manufacture, use, or sell any patented invention that may in any way be related thereto.

REPORT DOCUMENTATION PAGE	READ INSTRUCTIONS BEFORE COMPLETING FORM
REPORT NUMBER 2. GOVT ACCESSION NO	. 3. RECIPIENT'S CATALOG NUMBER
POINTING ACCURACY AND DYNAMIC RANGE IN A STEERED BEAM ADAPTIVE ARRAY	5. TYPE OF REPORT & PERIOD COVERED Technical Report
	E. PERFORMING ORG. REPORT NUMBER
AUTHOR(a)	B. CONTRACT OF GRANT NUMBER(=)
R. T. Compton, Jr	Contract N00019-79-C-9291
PERFORMING ORGANIZATION NAME AND ADDRESS The Ohio State University ElectroScience / Laboratory, Department of Electrical Engineering Columbus, Ohio 43212	10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS
CONTROLLING OFFICE NAME AND ADDRESS	NOV TO THE TOTAL TO
Naval Air Systems Command Washington, D.C. 20361	12. NUMBER OF PAGES - 30
4 MONITORING AGENCY NAME & ADDRESS(II different from Controlling Office)	15. SECURITY CLASS. (of this report)
	Unclassified
	15a. DECLASSIFICATION DOWNGRADING SCHEDULE
APPROVED FOR PUBLIC REDISTRIBUTION UNLIMITED. 7. DISTRIBUTION STATEMENT (of the abetract entered in Block 20, 11 different for	
DISTRIBUTION UNLIMITED	
DISTRIBUTION UNLIMITED 7. DISTRIBUTION STATEMENT (of the abetract entered in Block 20, if different from the abetract entered in Block 20, if different entered in Block 20,	
DISTRIBUTION UNLIMITED 7. DISTRIBUTION STATEMENT (of the abetract entered in Block 20, if different from the abetract entered in Block 20, if different entered in Block 20,	
DISTRIBUTION UNLIMITED 7. DISTRIBUTION STATEMENT (of the abetract entered in Block 20, if different for 8. SUPPLEMENTARY NOTES	JAN 16 1980
DISTRIBUTION UNLIMITED 7. DISTRIBUTION STATEMENT (of the abetract entered in Block 20, if different from the abetract ent	JAN 16 1980
DISTRIBUTION UNLIMITED 7. DISTRIBUTION STATEMENT (of the abatract entered in Block 20, if different for 8. SUPPLEMENTARY NOTES 9. KEY WORDS (Continue on reverse side if necessary and identify by block number Adaptive arrays Communications Interference rejection	JAN 16 1980
DISTRIBUTION UNLIMITED 7. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different for 8. SUPPLEMENTARY NOTES 9. KEY WORDS (Continue on reverse aide if necessary and identify by block number Adaptive arrays Communications	steered beam adaptive The purpose is to dethe actual desired significant the beam pointage question of dynamic range that must be ac-

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

The Control of Mark of an and the second and the se

CONTENTS

		Page
I .	INTRODUCTION	1
Π.	FORMULATION OF THE PROBLEM	4
III.	RESULTS	11
IV.	CONCLUSIONS	24
REFERENCES		30

Acces	sion For		-
NTIS DDC TA	GAAKI		
Unanno			
	Mention		
Ву			
Distri	<u>Prilitan/</u>		
Avei			٠.,
Dist	iville opecial	`.'	
A			
11			

I. INTRODUCTION

Desired signal tracking with an adaptive array can be accomplished two ways. One can either supply a reference signal in the array feedback loop, and use the LMS algorithm of Widrow, et al [1], or one can inject steering weights into the feedback loops, as originally suggested by Applebaum [2].

In the LMS array[1], the mean-square difference between the array output signal and a reference signal [3,4,5] (or "desired response"[1]) is minimized by the array feedback. When the reference signal is correlated with the desired signal (and uncorrelated with the interference), the array automatically maintains a strong pattern response in the desired signal direction. Usually the result is a beam that tracks the desired signal.

However, generating a reference signal for the LMS array can be a difficult task. Several problems confront the designer. First, there must be some way to process the array output signal so the desired signal is preserved and the interference is decorrelated. Second, there is usually an acquisition problem. The frequency of the incoming desired signal, and often the timing of a pseudonoise code or other tagging modulation, are unknown and must be determined before reference signal generation can begin. Third, it must be possible to start reference signal generation in the presence of interference and before the array nulls the desired signal. Finally, generating the reference signal usually requires a great deal of electronic circuitry behind the array. Although suitable reference signal generation techniques have been found for a few types of communication systems [4,5], for many other types of systems, there simply does not appear to be any way to generate a reference signal.

The second way to steer the beam in an adaptive array is to insert steering weights into the array feedback loops, as described by Applebaum[2]. This approach is vastly simpler, since no reference signal is required at all. The only difficulty with this approach is that the designer must know where to point the beam. He must know the desired signal arrival angle.

When the desired signal arrival angle is unknown, one can still use the Applebaum array, in the form of a power inversion array[6], to obtain some interference protection. A power inversion array is just an Applebaum array with a steering vector that turns one element on and the rest off. The element turned on is chosen so its element pattern covers some large sector of space from which desired signals may arrive. There is no attempt to steer a beam toward the desired signal.

However, the performance of a power inversion array is much poorer than that of the Applebaum array with a properly steered beam. For example, a power inversion array can accommodate only a limited dynamic range for the desired signal [6]. If the desired signal is too strong, the array will null it.

Because of the simplicity of the steered beam array, compared to the LMS array with a reference signal, it is certainly the most desirable approach if the desired signal arrival angle is known. In many design situations, the signal angle may be known approximately, but not exactly. Or, it may be possible to estimate the signal angle[7]. For this reason, it is of interest to determine how well a steered beam array will perform when the beam pointing angle is in error. That is the subject we address here.

In this report, we examine the performance of a two-element steered heam adaptive array as a function of the beam pointing error. We include in our model the effects of desired signal-to-noise ratio, interference-to-noise ratio, feedback loop gain, signal arrival angles, and signal bandwidths. We will show several things. First, we will note that to obtain full gain from the array when the signal power is high, the beam angle must be extremely close to the correct direction. (This fact has been pointed out previously by Zahm[81.) Second, we will find that the pointing error that can be tolerated is essentially a guestion of dynamic range; the greater the desired signal dynamic range that must be accommodated by the array, the smaller the pointing error must be. Third, we will compare the steered beam array perfomance with that of a power inversion array and an LMS array. We will find that the steered beam array performs better than the power inversion array even with quite large steering errors, but poorer than the LMS array for any nonzero pointing error. Finally, we will show that with interference present, the performance of the two-element array is less sensitive to pointing errors than without the interference.

In Section II of the report, we define the model for the problem and formulate the necessary equations. In Section III, we present calculated results. Section IV contains the conclusions.

II. FORMULATION OF THE PROBLEM

Consider the 2-element adaptive array shown in Figure 1. The elements are assumed isotropic and a half wavelength apart. The complex (analytic) signal $\tilde{x}_i(t)$ from each element is multiplied by a complex weight w_i and summed to produce the array output $\tilde{s}(t)$. The array weights are assumed controlled by feedback loops as described by Applebaum [21, which are also known as power inversion loops [6]. In such an array, the steady-state weight vector $w = (w_1 \ w_2)^T$ is given by [2,6]

$$w = [I + k\Phi]^{-1} w_0, \qquad (1)$$

where Φ is the covariance matrix,

$$\Phi = E(X * X^{\mathsf{T}}), \tag{2}$$

and \mathbf{w}_{0} is the steering vector

$$w_0 = (w_{10}, w_{20})^T. (3)$$

In these equations, I is the identity matrix, k is the feedback loop gain [6], T denotes the transpose, and "*" is complex conjugate. X is the signal vector

$$X = (\tilde{x}_1(t), \tilde{x}_2(t))^T, \tag{4}$$

and E(.) denotes the expectation.

Suppose two signals are incident on the array, one desired and one interference. Also, suppose thermal noise is present on each element signal. Then the element signals are

$$\tilde{x}_{j}(t) = \tilde{d}_{j}(t) + \tilde{i}_{j}(t) + \tilde{n}_{j}(t), \quad j = 1,2$$
 (5)

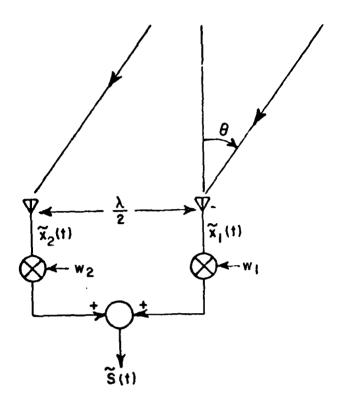


Figure 1. Two-element adaptive array.

where $\tilde{u}_j(t)$ is the desired signal, $\tilde{i}_j(t)$ is the interference, and $\tilde{n}_j(t)$ is the noise. The $\tilde{n}_j(t)$ are assumed zero-mean, bandlimited gaussian noise signals with

$$E[n_{i}(t) \quad n_{j}^{*}(t)] = \sigma^{2} \delta_{i,j} , \qquad (6)$$

where $\delta_{\mbox{ii}}$ is the Kronecker delta.

We assume the desired signals $\tilde{d}_1(t)$ and $\tilde{d}_2(t)$ differ only by an interelement propagation time delay T_d , i.e.,

$$\tilde{d}_1(t) = \tilde{d}(t), \tag{7a}$$

$$\tilde{d}_2(t) = \tilde{d}(t-T_d), \tag{7b}$$

where $\tilde{d}(t)$ is the desired signal waveform and T_d is

$$T_{d} = \frac{\ell}{c} \sin \theta_{d} , \qquad (8)$$

with ℓ the element separation, c the velocity of propagation, and θ_d the arrival angle, relative to broadside. Similarly, we assume

$$\tilde{i}_1(t) = \tilde{i}(t)$$
 , (9a)

$$\tilde{i}_2(t) = \tilde{i}(t-T_i) , \qquad (9b)$$

where $\tilde{i}(t)$ is the interference waveform and

$$T_{i} = \frac{\ell}{C} \sin \theta_{i} , \qquad (10)$$

with $\theta_{\rm j}$ the interference arrival angle. Also, we assume the desired and interference signals are zero-mean, stationary, and statistically independent of each other and the thermal noise.

With these assumptions, the covariance matrix in Equation (2) is

$$\Phi = \sigma^{2} I + \begin{pmatrix} R_{d}(0) + R_{i}(0) & R_{d}^{*}(T_{d}) + R_{i}^{*}(T_{i}) \\ R_{d}(T_{d}) + R_{i}(T_{i}) & R_{d}(0) + R_{i}(0) \end{pmatrix}, \quad (11)$$

where $R_{\vec{d}}(\tau)$ and $R_{\vec{i}}(\tau)$ are the autocorrelation functions of $\tilde{d}(t)$ and $\tilde{i}(t)$:

$$R_{d}(\tau) = E[\tilde{d}(t+\tau)\tilde{d}^{*}(t)], \qquad (12a)$$

$$R_{i}(\tau) = E[\tilde{i}(t+\tau)i^{*}(t)] . \qquad (12b)$$

It is convenient to define

$$S_d = R_d(0) = desired signal power per element,$$
 (13a)

$$S_i = R_i(0) = interference signal power per element, (13b)$$

and also the normalized autocorrelations

$$\rho_{d} = \frac{R_{d}(T_{d})}{S_{d}}, \qquad (14a)$$

$$\rho_{i} = \frac{R_{i}(T_{i})}{S_{i}} \qquad (14b)$$

In addition, we define the normalized parameters

$$K = k\sigma^2 = normalized loop gain,$$
 (15a)

$$\xi_{\rm d} = \frac{S_{\rm d}}{\sigma^2}$$
 = desired signal-to-noise ratio (SNR) per element, (15b)

$$\xi_i = \frac{S_i}{\sigma^2}$$
 = interference-to-noise ratio (INR) per element. (15c)

The matrix I+kp may then be written

$$I+k\Phi = \begin{pmatrix} 1+K+K\xi_d+K\xi_i & K\xi_d\rho_d^*+K\xi_i\rho_i^* \\ & & \\ K\xi_d\rho_d+K\xi_i\rho_i & 1+K+K\xi_d+K\xi_i \end{pmatrix} .$$
 (16)

The inverse of this is

$$[I+k\Phi]^{-1} = \frac{1}{D} \begin{pmatrix} 1+K+K\xi_{d}+K\xi_{i} & -K\xi_{d}\rho_{d}^{*}-K\xi_{i}\rho_{i}^{*} \\ -K\xi_{d}\rho_{d}-K\xi_{i}\rho_{i} & 1+K+K\xi_{d}+K\xi_{i} \end{pmatrix}, \qquad (17)$$

where D is the determinant of $I+k\Phi$:

$$D = (1 + K + K \xi_d + K \xi_i)^2 - |K \xi_d \rho_d + K \xi_i \rho_i|^2.$$
 (18)

The steady-state weights in the array may now be determined from Equation (1) for any given steering vector \mathbf{w}_0 .

In this report we assume the steering vector \mathbf{w}_0 is chosen to provide a beam maximum of the quiescent pattern in a given direction θ_{max} . To determine \mathbf{w}_0 , we note that a CW signal from an angle θ_{max} will produce a signal vector

$$X = \left(1 - \frac{j \pi \sin \theta_{\text{max}}}{e}\right)^{T} e^{j \omega_{\text{o}} t} . \tag{19}$$

The array output from such a signal would be

$$\tilde{s}(t) = X^{T} w = \left(w_{1} + w_{2}e^{-j\pi \sin\theta_{max}}\right) e^{j\omega_{0}t}$$
 (20)

The array will have a pattern maximum on this signal if

$$w_1 = w_2 e^{-j\pi \sin \theta_{\text{max}}} \qquad (21)$$

Hence, for a given $\theta_{\text{max}},$ we choose*

$$w_0 = \begin{pmatrix} e^{-j\pi \sin\theta_{\text{max}}} & 1 \end{pmatrix}^T . \tag{22}$$

From this w_0 , the steady-state weight vector w may then be calculated from Equation (1) using $[I+k\Phi]^{-1}$ from Equation (17).

To proceed, we must first define the signals $\tilde{d}(t)$ and $\tilde{i}(t)$ so ρ_d and ρ_i may be found. We will assume the desired signal and the interference are each stochastic processes with a flat bandlimited power spectral density centered at ω_0 . The desired signal will have bandwidth $\Delta\omega_d$, so its autocorrelation function is

$$R_{d}(\tau) = S_{d} \frac{\sin(\frac{\Delta \omega_{d}^{\tau}}{2})}{(\frac{\Delta \omega_{d}^{\tau}}{2})} e^{j\omega_{0}\tau} . \qquad (23)$$

Substituting $\tau\text{=}T_{d}$ and noting that

$$\frac{\Delta \omega_{\mathbf{d}}^{\mathsf{T}} \mathbf{d}}{2} = \frac{1}{2} \left(\frac{\Delta \omega_{\mathbf{d}}}{\omega_{\mathbf{o}}} \right) (\omega_{\mathbf{o}}^{\mathsf{T}} \mathbf{d}) = \frac{1}{2} B_{\mathbf{d}} \Phi_{\mathbf{d}} , \qquad (24)$$

where $\mathbf{B}_{\mathbf{d}}$ is the fractional bandwidth,

$$B_{d} = \frac{\Delta \omega_{d}}{\omega_{0}} , \qquad (25)$$

and $\boldsymbol{\varphi}_d$ is the interelement phase shift for the desired signal,

$$\phi_{\mathbf{d}} = \omega_{\mathbf{0}} \mathsf{T}_{\mathbf{d}} \quad , \tag{26}$$

we have

$$\rho_{d} = \frac{\sin \frac{1}{2} (B_{d} \phi_{d})}{\frac{1}{2} (B_{d} \phi_{d})} e^{j\phi_{d}} . \qquad (27)$$

^{*}In a power inversion array [2], where we have no information about desired signal arrival angle, we instead choose w = (1, 0). This choice makes the quiescent pattern omnidirectional.

Similarly, if the interference has bandwidth $\Delta\omega_{\dot{1}},$ we define the fractional bandwidth

$$B_{i} = \frac{\Delta \omega_{i}}{\omega_{0}} , \qquad (28)$$

and phase shift

$$\phi_i = \omega_0^T i \qquad (29)$$

Then

$$\rho_{i} = \frac{\sin \frac{1}{2} (B_{i} \phi_{i})}{\frac{1}{2} (B_{i} \phi_{i})} e^{j \phi_{i}} . \tag{30}$$

From w in Equation (1), the array output desired signal power, interference power and thermal noise power may now be calculated. The desired signal at the array output is

$$\tilde{s}_d(t) = [\tilde{d}(t), \tilde{d}(t-T_d)] w.$$
 (31)

The output desired signal power is then

$$P_{d} = \frac{1}{2} E[\tilde{s}_{d}^{*}(t)\tilde{s}_{d}(t)]$$

$$= \frac{1}{2} S_{d}[|w_{1}|^{2} + |w_{2}|^{2} + 2Re\{w_{1}w_{2}^{*}\rho_{d}\}] . \qquad (32)$$

The output interference signal is

$$\tilde{s}_{i}(t) = [\tilde{i}(t), \tilde{i}(t-T_{i})] w$$
, (33)

and the output interference power is

$$P_{i} = \frac{1}{2} S_{i} [|w_{1}|^{2} + |w_{2}|^{2} + 2Re \{w_{1}w_{2}^{*}\rho_{i}\}] \qquad (34)$$

The output thermal noise signal is

$$\tilde{s}_{n}(t) = [\tilde{n}_{1}(t), \tilde{n}_{2}(t)] w.$$
 (35)

The output thermal noise power is simply (in view of Equation (6)):

$$P_{n} = \frac{\sigma^{2}}{2} \left[|w_{1}|^{2} + |w_{2}|^{2} \right] . \tag{36}$$

From P_d , P_i and P_n we may compute the output desired signal-to-interference-plus-noise ratio (SINR):

$$SINR = \frac{P_d}{P_i + P_n} , \qquad (37)$$

which we use as a measure of array performance in the sequel.

We now have all the equations needed to compute the output SINR as a function of θ_d , B_d , ξ_d , θ_i , B_i , ξ_i and θ_{max} . In the next section we give the results of such calculations and show what happens to array performance when $\theta_{max} \neq \theta_d$.

III. RESULTS

Our purpose is to learn how well the array performs when θ_{max} , the beam pointing angle, is not equal to θ_d , the desired signal arrival angle. Consider first the case where there is no interference (ρ_i =0). Figure 2 shows the output SINR from the array as a function of θ_{max} when θ_d =0°. Several curves are shown for different SNRs (input desired signal-to-noise ratios). The curves are computed for K=0.1 and θ_d =0. (θ_d is found to have no effect on the results. The choice of K will be discussed below.)

From these curves, we see that the higher the SNR, the closer θ_{max} must be to θ_{d} to achieve maximum gain from the array. For example, if SNR=0 dB, the array provides better than 0 dB gain (i.e., SINR 0>dB) as long as $-27^{\circ} < \theta_{max} < 27^{\circ}$, but for SNR=20 dB, the array gain exceeds

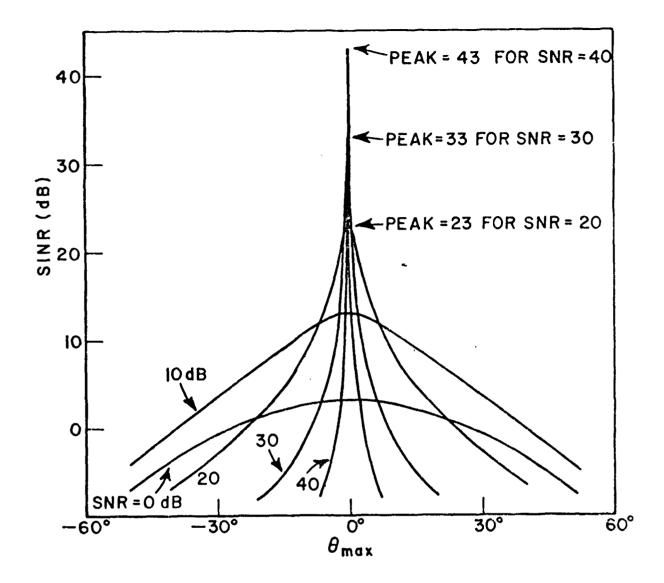


Figure 2. SINR vs. θ_{max} . $\theta_{\text{d}} = 0^{\text{O}}$, K=.1, $B_{\text{d}} = 0$. No interference.

0 dB (SINR>20 dB) only if $-2^{\circ} < \theta_{max} < 2^{\circ}.*$ Of course, for most system designs, achieving maximum gain is not the most important question. What matters is achieving a given minimum SINR at the array output. However, the trend is the same. For example, if SNR=10 dB, we require $-39^{\circ} < \theta_{max} < 39^{\circ}$ to achieve SINR>0 dB. But for SNR=30 dB, $-8.8^{\circ} < \theta_{max} < 8.8^{\circ}$ is necessary for SINR>0 dB.

The behavior of the curves in Figure 2 may be understood by examining the array patterns. Figures 3 and 4 show some typical patterns under the same conditions as in Figure 2. In Figure 3, θ_{max} is 0° and in Figure 4, θ_{max} is 10° . For $\theta_{max} = 0^{\circ}$, we find that as the SNR increases, the overall pattern magnitude is reduced. The array does not try to null the desired signal. As the pattern amplitude drops, both the desired signal power and the thermal noise power drop in proportion. Hence the output SINR remains unaffected by this change in pattern amplitude.

For $\theta_{\text{max}} = 10^{0}$, however, the pattern behavior is quite different. Now as the SNR increases, the array increasingly nulls the desired signal. Since it can do this without lowering the overall pattern amplitude, the result is to reduce the desired signal power without reducing the thermal noise power. Hence, the SINR drops as the SNR increases. This accounts for the behavior seen in Figure 2.

In general, we find that the closer θ_{max} is to the desired signal direction θ_d , the stronger the desired signal power must be to move the null on to the desired signal.

^{*}This sharp dependence of \$INR on pointing error has been noted previously by Zahm [8], who showed similar results for a four-element linear array.

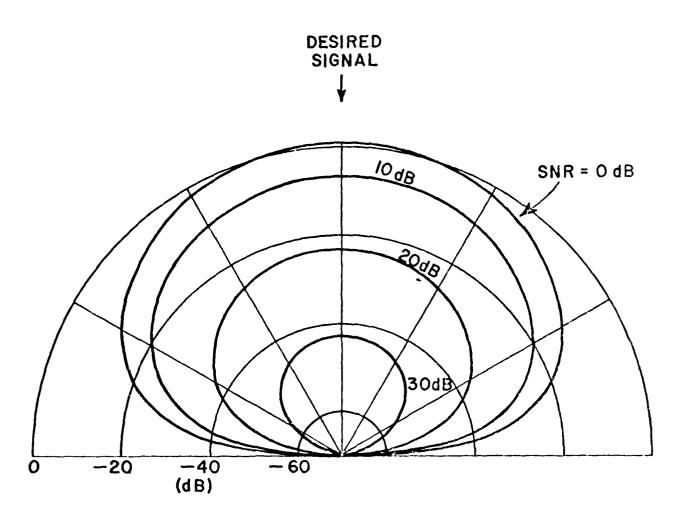


Figure 3. Array pattern. K=0.1, $\theta_d=0$, $\theta_{max}=0$. No interference.

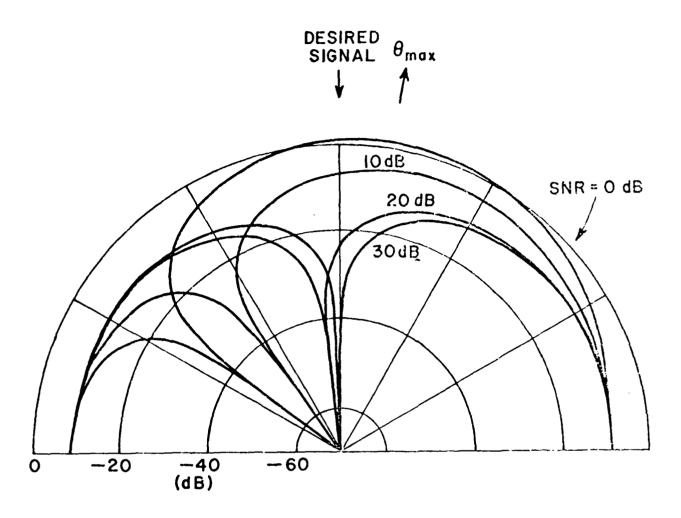


Figure 4. Array pattern. K=0.1, $B_d=0$, $\theta_{max}=10^{\circ}$. No interference.

A different perspective on these results may be gained by plotting the SINR versus the SNR. This is done for several values of θ_{max} in Figure 5. This figure is interesting because it immediately shows that the beam pointing that can be tolerated is essentially a matter of dynamic range. For example, if θ_{max} =10°, we have SINR>0 dB only for -3 dB<SNR<29 dB, whereas if θ_{max} =1°, SINR>0 dB for - 3 dB<SNR<49 dB. The greater the desired signal dynamic range we wish to accomodate, the closer θ_{max} must be to the desired signal angle.

Also shown in Figure 5 is the performance achieved by a power inversion array, with $w_0 = (1,0)^T$, under the same conditions*. It turns out that the power inversion array has the same performance as the steered beam array with $\theta_{max} = 30^{\circ}$. The performance of the steered beam array is thus seen to exceed that of the power inversion array as long as $-30^{\circ} < \theta_{max} < 30^{\circ}$.

We note also that an LMS array, using a replica of the desired signal as the reference signal, has essentially the same performance as the curve shown for $\theta_{max} = 0^0$ in Figure 5.** Thus, the performance

^{*}The calculation of SINR for the power inversion arra, is carried out in Reference 6.

^{**}For the LMS array, the steady-state weights are $w = \Phi^{-1}s$, where S=E{XR(t)}, with R(t) the reference signal, and Φ is the covariance matrix in Equation (2) [1]. When R(t) is correlated with the desired signal, S is a vector parallel to w = (1,1), i.e., the same as the steering vector with $\theta = 0$. Hence the only difference between the LMS weights and those used here is due to the I term in Equation (1). This term makes a negligible difference on the output SINR computed in Figure 5.

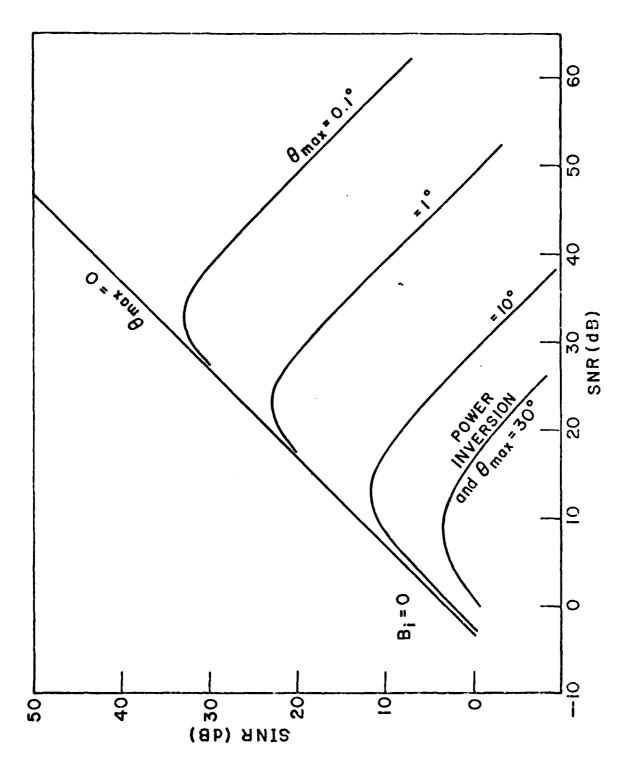


Figure 5. Steered beam array performance $\alpha_d^* \sigma^2$, $\alpha_i \cdot 50^{\rm O}$, K=.1, B_d =0, No interference.

of the steered beam array approaches that of the LMS array as the pointing error goes to zero.

The previous curves were all for a loop gain of K=0.1. The effect of loop gain on these results is illustrated in Figure 6, which shows typical curves of SINR versus K, for SNR=30 dB and for several θ_{max} . It is seen that as K increases, the array tends to null the desired signal more. The smaller the pointing error θ_{max} , the larger K must be to null the desired signal to a given level. In the other curves presented in this paper, we have used K=0.1 as a representative value.

Now consider the situation when interference is present. Figure 7 shows a set of curves similar to those in Figure 2 except that interference is incident at $\theta_i = 50^{\circ}$ with an INR of 40 dB and zero bandwidth (B_i=0). These curves differ from those in Figure 2 in several respects. First, for SNR<10 dB, θ_{max} has less effect on the SINR (except for θ_{max} near θ_{i}) than it did with no interference. Second, for higher SNRs the SINR again becomes sensitive to θ_{max} , but much less so than without interference. For example, in Figure 7, with SNR = 40 dB, SINR>0 dB for -38° < θ_{max} <22.5°, whereas in Figure 2, SNR = 40 dB yields SINR>0 dB only for -2.9° < $\theta_{\rm max}$ < 2.9° . The reason for this difference is that, with interference present, the single degree of freedom in the array pattern is used to form the interference null. The array cannot null the desired signal, as it could without the interference. Finally, with interference present, the output SINR drops precipitously for all SNR's as θ_{max} approaches θ_{i} . Without interference, there is no such critical angle.

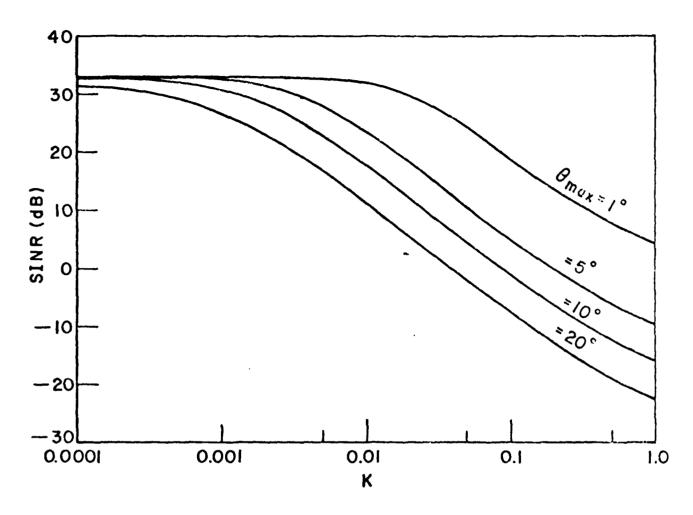


Figure 6. SINR vs. K. $\theta_d = 0^0$, SNR=30 dB, $\theta_d = 0$. No interference.

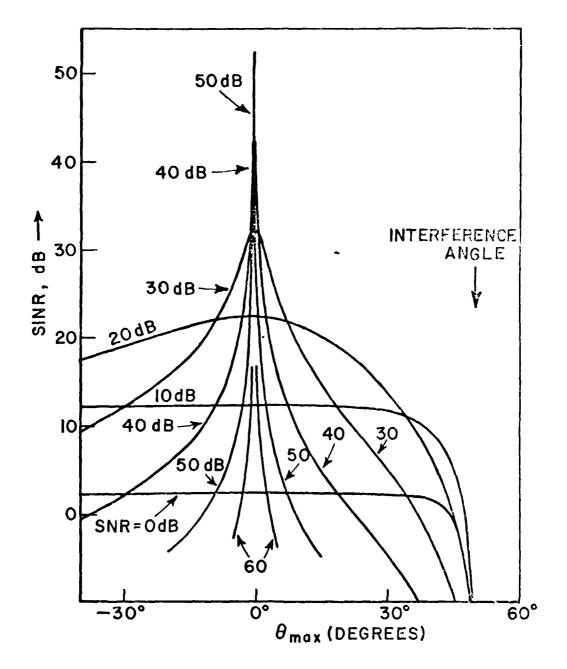


Figure 7. Steered beam array performance. $\theta_d=0^{\circ}$, $\theta_i=50^{\circ}$, $\theta_d=0$, $\theta_i=0$, K=.1, INR=40 dB.

Figure 8 shows the corresponding pattern behavior. For SNR=0 dB and $\theta_{\rm max} = 0^0$, the array nulls the interference, as shown. We note that because the array can form only one null, it cannot null both the desired and interference signals. Hence, as the desired signal power is increased, the array responds by reducing the absolute magnitude of the pattern. This behavior may be seen in Figure 8 by comparing the patterns for SNR = 0 dB and SNR = 20 dB, for $\theta_{\rm max} = 0^0$.

The effect of shifting θ_{max} toward θ_{i} may also be seen in Figure 8 for SNR = 20 dB. Since INR>SNR, the null remains on the interference. As a result, the more θ_{max} differs from θ_{d} , the more the pattern magnitude is reduced.

Figure 9 shows the output SINR versus the input SNR, for several values of θ_{max} . Also, Figure 9 shows the effect of B_i , the interference bandwidth. Again, we may compare the performance of the steered beam adaptive array with both the power inversion array and the LMS array. (The LMS array performance is the same as that shown for $\theta_{max}=0^{\circ}$.) As was the case without interference, for small SNR, θ_{max} can be far from θ_d (0°) without degrading performance. As the SNR is increased, however, θ_{max} must be closer and closer to θ_d to yield the performance of the LMS array. At high SNR's extremely accurate knowledge of θ_d will be needed to achieve top performance. If we compare the steered beam results with those for the power inversion array, we find that the SINR exceeds that of the power inversion array as long as θ_{max} <22.5°, i.e., as long as θ_{max} is closer to θ_d than θ_i . Again, our knowledge of θ_d does not need to be very accurate to improve on power inversion.

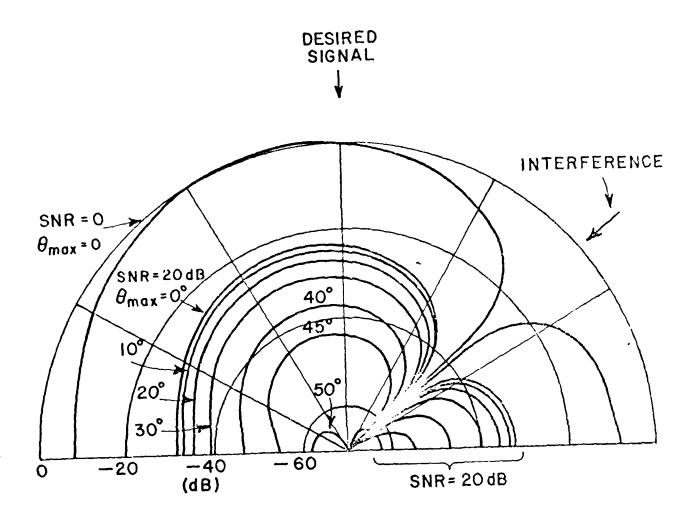
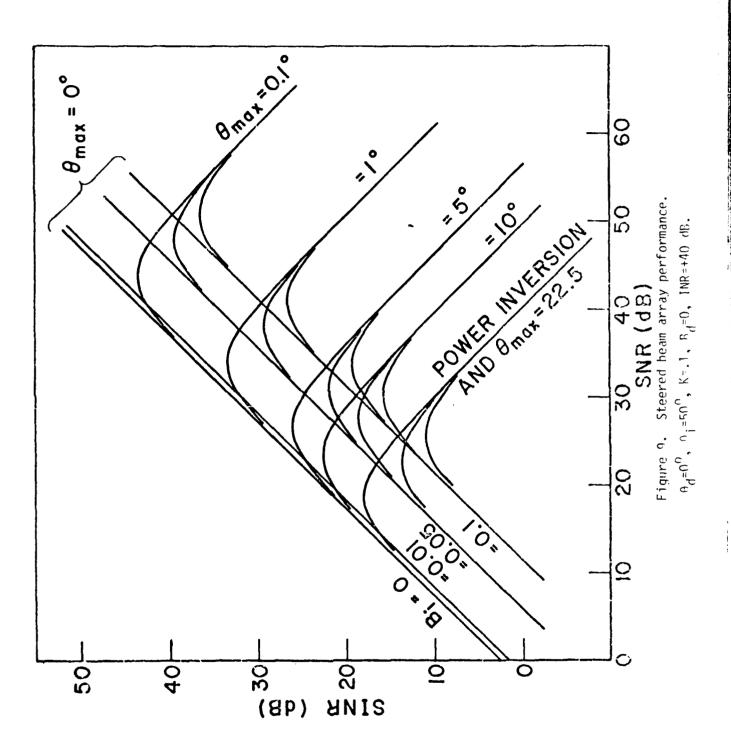


Figure 8. Array pattern. $B_d=0$, $\theta_d=0^0$, K=0.1, $B_i=0$, $\theta_i=50^0$, INR=40 dB.



The effect of reducing the angle between θ_d and θ_i may be seen in Figures 10 and 11. Figure 10 shows SINR versus SNR for $\theta_i = 30^0$ and Figure 11 shows similar results for $\theta_i = 10^0$. In both cases we find that we must have $\theta_{max} < \frac{\theta_i}{2}$ for the system to perform as well as a power inversion array.

The effect of the INR on these results may be seen in Figures 12 and 13. These plots show the output SINR versus input SNR with INR=0 dB and 20 dB, respectively, and $\theta_i = 50^{\circ}$. For INR = 20 dB (Figure 13), we still find (as at higher INR's) that the performance will exceed that of the power inversion array as long as $\theta_{max} < \frac{\theta_i}{2}$. But for INR = 0 dB (Figure 12), the performance is returning to that shown in Figure 5 for no interference.

IV. CONCLUSIONS

We have examined the performance of a 2-element steered beam adaptive array as a function of beam pointing error, and have compared this performance with that of an LMS array and a power inversion array. The results show that:

- (1) Without interference, the steered beam array performance exceeds that of the power inversion array as long as the pointing error is less than 30° .
- (2) With interference, the steered beam array performance exceeds that of the power inversion array as long as the beam pointing angle is closer to the desired signal than the interference.

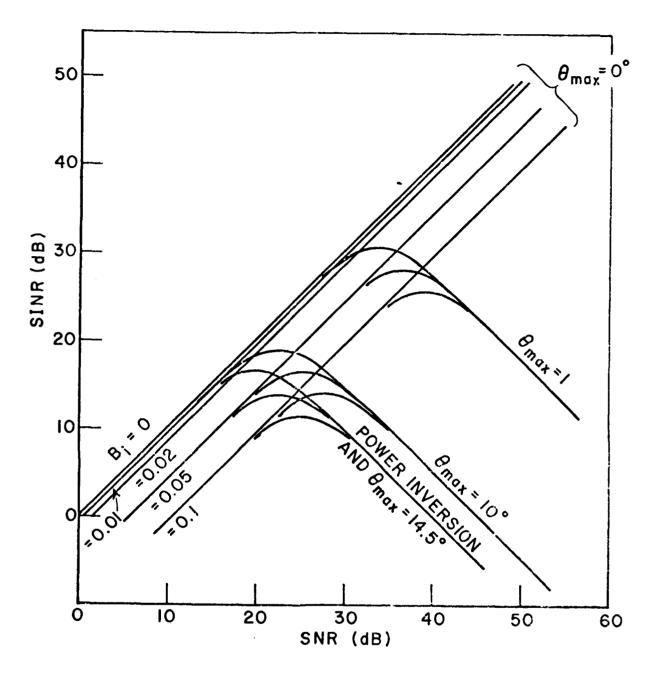


Figure 10. Steered beam array performance. $\theta_d = 0^0$, $\theta_i = 30^0$, K=0.1, $\theta_d = 0$, INR=40 dS.

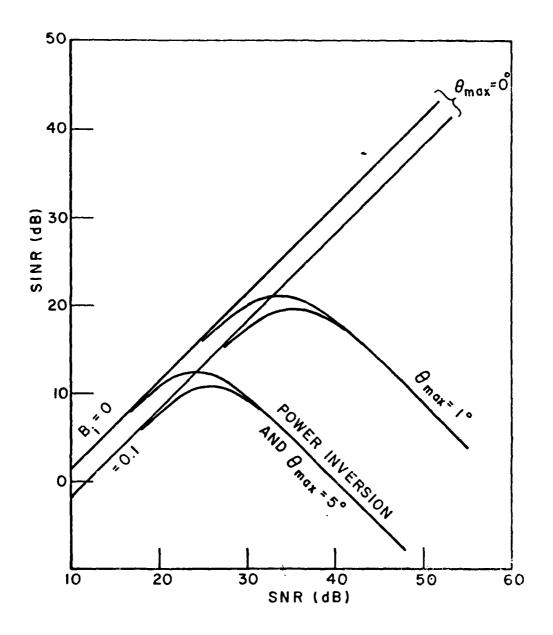


Figure 11. Steered beam array performance. $\theta_d = 0^\circ$, $\theta_i = 10^\circ$, K=.1, $\theta_d = 0$, INR=40 dB.

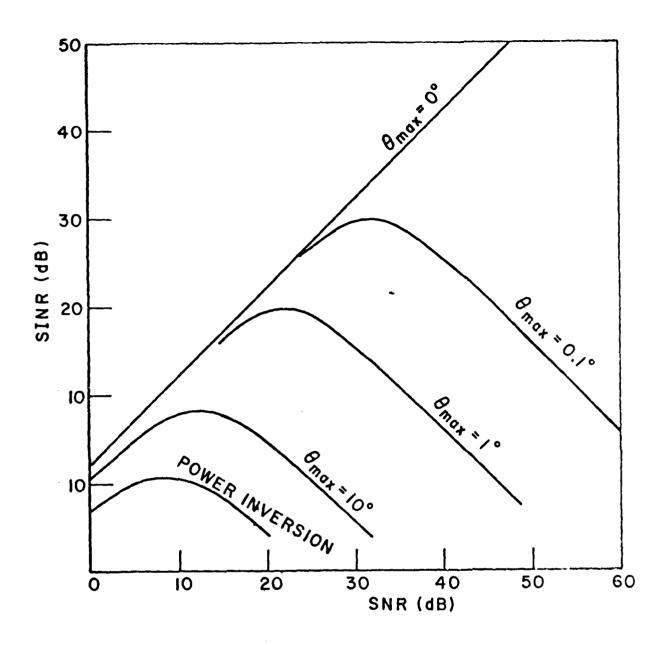


Figure 12. Steered beam array performance. $\theta_d=0^\circ$, $\theta_i=50^\circ$, K=0.1, INR=0 dB, $\theta_d=0$, $0\le \theta_i\le .2$.

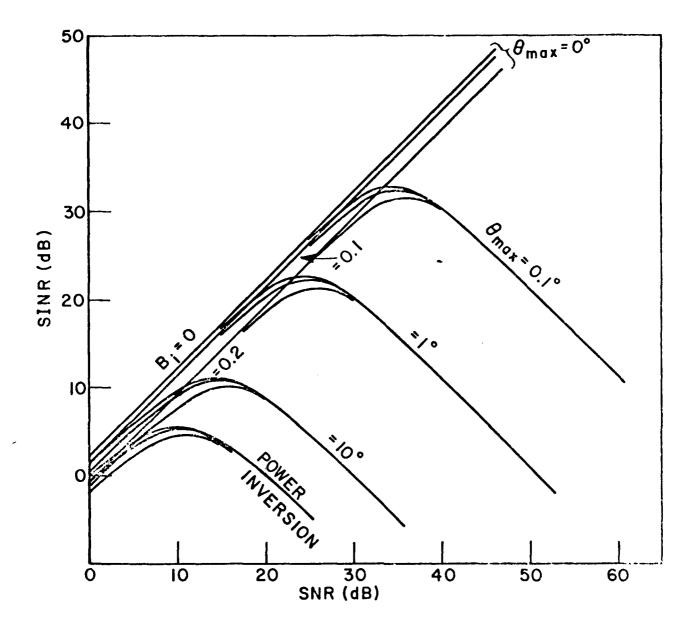


Figure 13. Steered beam array performance. $\theta_d = 0^0$, $\theta_1 = 50^0$, K=0.1, $\theta_d = 0$, INR=20 dB.

(3) For any given beam pointing error, the performance of the steered beam, LMS and power inversion arrays is essentially the same for low SNR (input desired signal-to-noise ratio). But for high SNR, the steered beam array performance is substantially power than that of the LMS array unless the beam pointing angle is extremely accurate. The accuracy required depends on the interference power and arrival angle, as shown in Figures 2-13. For example, Figure 9 shows that for INR = 40 dB, $\theta_i = 50^{\circ}$, $B_i = 0$, and SNR = 40 dB, the pointing error must be less than about 0.1° for the steered beam array to do as well as the LMS array.

REFERENCES

- 1. B. Widrow, P. E. Mantey, L.J. Griffiths and B.B. Goode, "Adaptive Antenna Systems," Proc. IEEE, <u>55</u>, December 1967, p. 2143.
- 2. S.P. Applebaum, "Adaptive Arrays," IEEE Trans., <u>AP-24</u>, September 1976, p. 585.
- 3. R.L. Riegler and R.T. Compton, Jr., "An Adaptive Array for Interference Rejection," Proc. IEEE, 61, June 1973, p. 748.
- 4. R.T. Compton, Jr., R.J. Huff, W.G. Swarner and A.A. Ksienski, "Adaptive Arrays for Communication Systems: An Overview of Research at the Ohio State University," IEEE Trans., <u>AP-24</u>, September 1976, p. 599.
- 5. R.T. Compton, Jr., "An Adaptive Array in a Spread Spectrum Communication System," Proc. IEEE, <u>66</u>, March 1978, p. 289.
- 6. R.T. Compton, Jr., "The Power Inversion Adaptive Array Concept and Performance," IEEE Trans., <u>AES-15</u>, November 1979, p. 803.
- 7. R.C. Davis, L.E. Brennan and I.S. Reed, "Angle Estimation with Adaptive Arrays in Extended Noise Fields," IEEE Trans., <u>AES-12</u>, March 1976, p. 179.
- C.L. Zahm, "Effects of Errors in the Direction of Incidence on the Performance of an Adaptive Array," Proc. IEEE (Correspondence), 60, August 1972, p. 1008.